



U.S. Express Mail Label No. EV 386826301 US

PTO/SB/21 (08-03)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/603,020	
	Filing Date	June 26, 2000	
	First Named Inventor	Kevin D. Howard	
	Art Unit	2143	
	Examiner Name	Jaroenchonwanit, [illegible]	
Total Number of Pages in This Submission	22 pages + 6 references	Attorney Docket Number	387785

**RECEIVED**  
MAY 13 2004  
Technology Center 2100

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): -Copies of 5 cited references -Substitute for Form 1449/APTO -Request to Correct Inventorship -Certificate of Mailing -Return Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Curtis A. Vock, Reg. No. 38,356
Signature	
Date	06 May 2004

CERTIFICATE OF MAILING 37 CFR 1.10			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service ["Express Mail Post Office to Addressee" service] with sufficient postage in an envelope addressed to: ATTN: FEE AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Linda Levin		
Signature		Date	06 May 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**FEE TRANSMITTAL**  
**for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 520

## Complete If Known

Application Number	09/603,020
Filing Date	26 June 2000
First Named Inventor	Kevin D. Howard
Examiner Name	Jaroenchonwanit, Bunjob
Art Unit	2143
Attorney Docket No.	387785

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MAY 13 2004

Technology Center 2100

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
Order☒ Deposit Account:

Deposit Account Number 12-0600

Deposit Account Name Lathrop &amp; Gage

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	29	-32 **	=	0	X		=	0
Independent Claims	3	-3 **	=	0	X		=	0
Multiple Dependent	0				X		=	0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	210
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

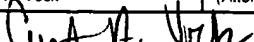
Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 520

## SUBMITTED BY

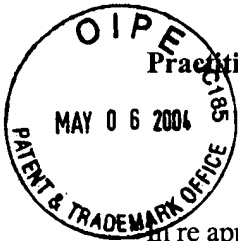
## Complete (if applicable)

Name (Print/Type)	Curtis A. Vock	Registration No. (Attorney/Agent)	38,356	Telephone	720-931-3011
Signature		Date	06 May 2004		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Practitioner's Docket No. 387785

IN THE UNITED STATES PATENT OFFICE

PATENT  
RECEIVED

MAY 13 2004

In re application of: Kevin D. Howard et al.

Application No.: 09/603,020

Filed: June 26, 2000

Group No.: 2143

Examiner: Jaroenchonwanit, Bunjob

Technology Center 2100

For: COLLECTIVE NETWORK PROCESSING SYSTEM AND METHODS

Mail Stop Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

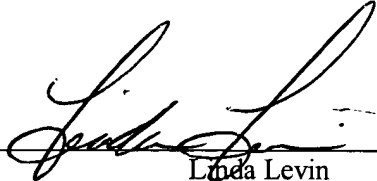
CERTIFICATE OF MAILING  
via EXPRESS MAIL

Express Mail Label No. EV 386826301 US  
Date of Deposit: 06 May 2004

I hereby state that the following *attached* papers:

- 1) Transmittal Form SB-21 (1 page);
- 2) Fee Transmittal authorizing charges to Deposit Account, in duplicate (2 pages);
- 3) Petition for 2 Month Extension authorizing charges to Deposit Account, in duplicate (2 pages);
- 4) Request to Correct Inventorship in a Nonprovisional Application (2 pages);
- 5) Response to Office Action mailed November 28, 2003 (11 pages);
- 6) Information Disclosure Statement Transmittal (1 page);
- 7) Substitute for Form 1449A/PTO (2 pages);
- 8) Copies of 6 cited references;
- 9) Certificate of Mailing (1 page), and
- 10) Return Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above, and in an envelope addressed to: Mail Stop Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Linda Levin



**PATENT**  
Attorney Docket No. 387785  
Express Mail Label No. EV 386826301 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) Kevin D. Howard [as corrected]

Serial No.: 09/603,020

Examiner: Jaroenchonwanit,  
Bunjob

Filed: 26 June 2002

Art Unit: 2143

For: **COLLECTIVE NETWORK PROCESSING SYSTEM AND METHODS**

**RECEIVED**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

MAY 13 2004

Technology Center 2100

**REQUEST TO CORRECT INVENTORSHIP IN A NONPROVISIONAL APPLICATION  
Under 37 C.F.R. §1.48(b)**

Dear Sir:

Applicants request a change of inventorship in accordance with the provisions of 37 C.F.R. §1.48(b). Due to amendment of the claims, inventions of Gerard A. Verbeck and Scott A. Smith are no longer claimed in the above-referenced nonprovisional application.

1. The following persons are currently listed as the inventors of this application:

Kevin D. Howard

Gerard A. Verbeck

Scott A. Smith

2. Applicants request correction of inventorship to list the following person as sole inventor in the above-referenced nonprovisional application:

05/11/2004 DEMMANU1 00000002 120600 09603020

Kevin D. Howard

02 FC:1460 130.00 DA

3. Pursuant 37 C.F.R. §1.48(b), this Request is accompanied by the a fee transmittal authorizing the Commissioner to charge Deposit Account No. 12-0600 for the \$130 fee required under 37 C.F.R. 1.17(i).

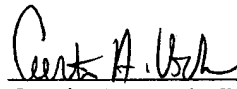
~~05/11/2004 DEMMANU1 00000001 120600 09603020~~

~~02 FC:1460 130.00 DA~~

Applicants believe no further fees are due, however, should any additional fee be deemed necessary in connection with this Request, the Commissioner is authorized to charge Deposit Account 12-0600. Should any questions arise, please contact the attorney whose signature appears below.

Respectfully submitted,

Date: May 6, 2004

  
Curtis A. Vock, Reg. No. 38,356  
LATHROP & GAGE L.C.  
4845 Pearl East Circle, Suite 300  
Boulder, Colorado 80301  
Tele: (720) 931-3011  
Fax: (720) 931-3001